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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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2834

Applicant(s): Bee Lee CHUA, et al. Re: VERIFIED STATEMENT
CLAIMING SMALL ENTITY STATUS

U.S. Serial No.: 10/038,530

Group Art Unit: 2834

Filing Date: January 2, 2002

Our Ref.: B-4445 619419-0

For: "VIBRATORY IN-PLANE
TUNNELLING GYROSCOPE"

Date: August 8, 2002

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Enclosed for filing in the subject application are an executed Verified Statement Claiming Small Entity Status (Small Business Concern) and a Verified Statement Claiming Small Entity Status (Nonprofit Organization).

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to the "Commissioner of Patents and Trademarks, Washington, D.C. 20231", on August 8, 2002 by Karvn Lao

Respectfully submitted,

Ross A. Schmitt
Attorney for Applicant
Reg. No. 42,529

LADAS & PARRY
5670 Wilshire Boulevard
Suite 2100
Los Angeles, CA 90036
(323) 934-2300

Enclosure: Verified Statement Claiming Small Entity Status
(Small Business Concern) (1 page)
Verified Statement Claiming Small Entity Status
(Nonprofit Organization) (1 page)



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Applicant or Patentee: Bee Lee CHUA, et al. Attorney's Docket No.: B-4445 619419-0
Serial or Patent No.: 10/038,530
Filed or Issued: January 2, 2002
For: "VIBRATORY IN-PLANE TUNNELLING GYROSCOPE"

**Verified Statement (Declaration) Claiming Small Entity Status
37 CFR 1.9(f) and 1.27(c) - Small Business Concern**

I hereby declare that I am:

☐
☒ X

the owner of the small business concern identified below:
an official of the small business concern empowered to act on behalf of the

concern identified below:

Name of Concern: INSTITUTE OF MATERIALS RESEARCH AND ENGINEERING
Address of Concern: No. 3 Research Link Singapore 117602

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled: "VIBRATORY IN-PLANE TUNNELLING GYROSCOPE" by inventor(s): Bee Lee CHUA, Holden King Ho LI, Yuan XU, Eng Hock TAY, and William Tze-You CHEN described in:

☐ the specification filed herewith.
☒ application serial no.: 10/038,530, filed January 2 2002.
☐ patent no. , issued .

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below*, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: NATIONAL UNIVERSITY OF SINGAPORE

ADDRESS 10 Kent Ridge Crescent Singapore 119260

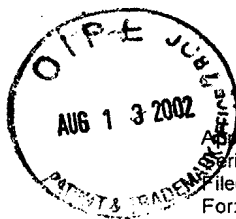
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☒ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. [37 CFR 1.28(b)]

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Dr Wong Chia Woan

Name of Person Signing:
Title of Person Other Than Owner: Research Admin Manager
Address of Person Signing: 3 Research Link, Singapore 117602
Signature: [Signature] Date: 12/7/02



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Applicant: Bee Lee CHUA, et al. Attorney's Docket No.: B-4445 619419-0
Serial or Patent No.: 10/038,530
Filed or Issued: January 2, 2002
For: "VIBRATORY IN-PLANE TUNNELLING GYROSCOPE"

**Verified Statement (Declaration) Claiming Small Entity Status
37 CFR 1.9(f) and 1.27(c) - Nonprofit Organization**

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

Name of Organization NATIONAL UNIVERSITY OF SINGAPORE
Address of Organization 10 Kent Ridge Crescent Singapore 119260

TYPE OF ORGANIZATION

- ☒ University or other institution of higher education
☐ Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3))
☐ Nonprofit scientific or educational under statute of state of The United States of America
(Name of state _____)
(Citation of statute _____)
☐ Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in The United States of America
☐ Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located in The United States of America
(Name of state _____)
(Citation of statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9 (e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled "VIBRATORY IN-PLANE TUNNELLING GYROSCOPE" by inventor(s) Bee Lee CHUA, Holden King Ho LI, Yuan XU, Eng Hock TAY, and William Tze-You CHEN described in

- ☐ the specification filed herewith
☒ application serial no.: 10/038,530, filed January 2, 2002
☐ patent no. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below*, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: INSTITUTE OF MATERIALS RESEARCH AND ENGINEERING

ADDRESS No. 3 Research Link Singapore 117602

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Name of Person Signing: Attia DR KWA SIEW HWA
Title of Organization: NATIONAL UNIVERSITY OF SINGAPORE
Address of Person Signing: Attia 10 KENT RIDGE CRESCENT SINGAPORE 119260
Signature: _____ Date: 18 JUNE 2002